

RE-2 Employee Post Travel Disclosure of Travel Expenses

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2023 DEC -1 AM 11:05

Post Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building. **This form is a public disclosure. The form and all attachments will be made publicly available.**

Certification: In compliance with the Regulations Governing Privately Sponsored Travel, Senate Rule 35, and the Honest Leadership and Open Government Act of 2007, I certify that I accepted the following gift of privately sponsored travel:

Private Sponsor(s):
Kellogg School of Management at Northwestern University

Travel Dates:
11/02/2023 to 11/04/2023

Name of accompanying family member (if any):

Relationship to Traveler:

Expenses

Transportation Expenses	Lodging Expenses	Meals Expenses	Other Expenses (Amount & Description)
\$452.20	\$211.83	\$100.01	\$0.00

I also certify that attached to this form are all required documents for post travel disclosure, including:

- The final **Employee Pre-Travel Authorization** (Form RE-1)
- The final **Private Sponsor Travel Certification Form** with all attachments
- The final invitation
- The final approved itinerary

Finally, I certify that all trip information reflected in the attachments above accurately reflects the travel that I accepted. If there were any changes to the trip after I received approval from the Committee, the changes are described in ATTACHMENT 1.

30 Nov. 2023

Date

Peter Gartrell

Printed Name of Traveler



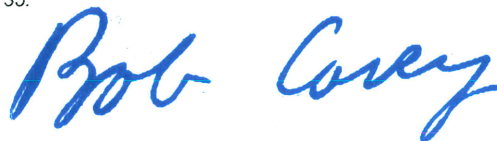
Signature of Traveler

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

12/1/2023

Date



Signature of Supervising Senator/Officer

ATTACHMENT 1 – CHANGES FROM APPROVED PRE-TRAVEL

Note: Material changes to a trip that occur after the Committee has issued an approval letter may invalidate the Committee's approval. Please contact the Committee with any questions regarding changes to an approved trip.

Were there any changes to the pre-approved travel expenses? (Transportation, Meals, Lodging, Other)?

☒ Yes ☐ No

Expense Change	Revised Amount	Explanation
Transportation	\$452.20	Mr. Gartrell did not use the return airline ticket as a death in the family required he personally purchase a ticket to fly to Philadelphia instead. The unused Chicago to DC airfare resulted in a \$220 reduction. Note: Limitations in Northwestern University's travel and accounting systems creates an approximately 8 week delay before documentation of this amount is available. The reduced amount was provided by Egencia Travel's customer service. Travel between home, DCA, ORD, Northwestern University was initially estimated at \$80, with the actual cost totaling \$104.39.
Lodging	\$211.83	Hotel cost was incorrectly calculated at \$185, which did not include a newly added service fee totaling \$26.83. The actual total amount is still under the Federal GSA Per Diem rate for Chicago/Cook County.
Meals	\$100.01	Meal costs were initially estimated at a total of \$158, with actual costs totaling \$100.01. The expense per day is still within the Federal GSA Per Diem Rate for Chicago/Cook County.

Were there any changes to the pre-approved itinerary?

☐ Yes ☒ No

Explanation: There were no changes to the pre-approved itinerary.

Were there any additional changes to the pre-approved trip?

☐ Yes ☒ No

Explanation: There were no additional changes to the pre-approved trip.

RE-1 Employee Pre-Travel Authorization

Date/Time Stamp

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved.

Name of Traveler:

Peter Gartrell

Employing Office/Committee:

Special Committee on Aging

Private Sponsor(s):

Northwestern University

Destination(s):

Evanston, Illinois

Travel Dates:

11/2/23-11/3/23

NOTE: If you plan to extend the trip for any reason you **must** notify the Committee.

Explain how this trip is specifically connected to the traveler's official or representational duties.

Northwestern University's Business School will be hosting a visiting professor who will be teaching a class in health care economics. The professor has asked that Peter lecture to students about an investigation that Chairman Casey released in May 2023 regarding nursing home oversight. The lecture is meant to provide the students with firsthand insight into congressional oversight, federal nursing home laws and regulations, and the policy process.

Do you have an accompanying family member or spouse on this trip? **Name and Relationship to Traveler:**

☒ (signify "yes" by checking box)

I certify that the information contained in this form is true, complete and correct to the best of my knowledge.

9/20/23

Date

Signature of Employee

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER

(President of the Senate, Secretary of the Senate, Sergeant at Arms,
Secretary for the Majority, Secretary for the Minority, and Chaplain)

Bob Casey
(Print Senator's/Officer's Name)

hereby authorize

Peter Gartrell
(Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate.

☐

(signify "yes" by checking box)

Date

Signature of Supervising Senator/Officer

Kellogg School of Management at Northwestern University: Peter Gartrell Kellogg School of Mgmt at NorthwesternU visit

 Peter Gartrell

Title:
Chief Investigator

Employing Office/Committee:
SPECIAL COMMITTEE ON AGING DEM

Duty Station:
WASHINGTON,DC

Destination(s):
Evanston, Illinois

Explain how this trip is specifically connected to the traveler's official or representational duties:

Northwestern University's Business School will be hosting a visiting professor who will be teaching a class in health economics. The professor has asked that I lecture to students about an investigation that Chairman Casey released in May 2023 regarding nursing home oversight. The lecturer is meant to provide the students with firsthand insight into congressional oversight, federal nursing home laws and regulation, and the policy process.

Name of accompanying family member (if any):
Not Entered

Name and Relationship to Traveler:
None

Organization Information

Organization Name
Kellogg School of Management at Northwestern University

Is your organization classified as a §501(c)(3)?

☒ Yes ☐ No

§501(c)(3) Organization Type
Public Charity

Address
2211 Campus Dr.

Address 2 (Optional)
Public Charity

City, State Zip
Evanston, Illinois 60208 United States

Phone Number
847-491-8813

Organization URL

History of Congressional Travel

NU hosts occasional congressional travel for those who can share information to students/researchers/faculty related to the intersection of congressional policy and pedagogy at the University.

Educational Activities

Northwestern University is an educational institution with an active foundation in academic research.

Lobbyist and Foreign Agent Registration Information

Lobbyist Registration Status: *I certify that the sponsor is not a federally registered lobbyist but retain or employ one or more federally registered lobbyists.*

Foreign Agent Registration Status: *I certify that the sponsor is not an agent of a foreign principal and do not retain or employ an agent of a foreign principal.*

Foreign Government Involvement

Foreign Agent Registration Status:

- ☒ I certify that the sponsor is not a foreign government.
- ☒ I certify that the sponsor is not an entity that is owned or operated by a foreign government.
- ☒ I certify that the sponsor does not receive funding from a foreign government.

Comments

None Entered

Signature Page

The signature page is below.

Purpose and Details

Provide a brief description of the trip.

Prof. Susan Lu invites Mr. Gartrell to speak to her STRT 444 Healthcare Economics course about Senator Casey's report "Uninspected and Neglected," which focuses on nursing home safety/oversight. In addition, he will meet with faculty leadership of the Healthcare at Kellogg program - Craig Garthwaite, Paul Campbell, Amanda Starc - regarding his work with Senator Casey related to healthcare access and safety.

Explain how the purpose of the trip relates to your organization's mission.

This report, along with his support of Senator Casey's investigation of other healthcare issues, speaks directly to healthcare economics which is a program specialization at Kellogg School of Management.

Is your organization the only sponsor for this trip?

☒ Yes ☐ No

Grantmaking Organizations (Optional)

There are no grantmaking organizations.

With or Without Regard for Congressional Participation

The trip is arranged or organized specifically with regard to congressional participation.

Lobbyist/Foreign Agent Involvement in Planning, Organizing, Requesting or Arranging

- ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal, other than de minimis involvement.

Lobbyist/Foreign Agent Financing

- ☒ The trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- ☒ No funds or in-kind contributions were earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.

Lobbyist/Foreign Agent Accompaniment

Complete if all sponsors are §501(c)(3) organizations

- ☒ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Complete if any of the sponsors is not a §501(c)(3) organizations.

- ☐ The trip is limited to three days (for trips inside the continental United States) or seven days (for trips outside the continental United States), and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip
- ☐ The trip is limited to a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip

Certification of No Recreational Activity and No Alcohol

- ☒ Travel expenses paid for will not include expenditures for recreational activities.

- ☒ Travel expenses paid for will not include expenditures for alcohol, except as permitted by the Regulations Governing Privately Sponsored Travel.

Invitees

- i** Members and staff from the House of Representatives **will not** receive invitations.

The list of invitees is below.

Travel Details

Trip Start Date/Time:
11/02/2023 @ 10:00 AM



Trip End Date/Time:
11/03/2023 @ 09:00 PM

Will the traveler be accompanied by a family member for whom the sponsor will pay travel expenses?

☐ Yes ☒ No

Itinerary

The itinerary is below.

Transportation (Per Member/Officer/Employee: \$647.81 | Accompanying Family Member: \$0.00)

Transportation Type	Class	Amount
Airfare	Coach	\$567.81
Note Round trip DCA to ORD		
Ground Transportation	N/A	\$5.00
Note DC Metro to DCA		
Ground Transportation	N/A	\$35.00
Note Taxi Evanston to ORD		
Ground Transportation	N/A	\$5.00
Note Metro DCA to home		
Ground Transportation	N/A	\$35.00
Note Taxi from ORD to Evanston		

Lodging (Per Member/Officer/Employee: \$185.00)

Check-In	Check-Out	Facility	City	State	Country	Nights	Cost/Night	Cost Exceed Per Diem
11/02/2023	11/03/2023	Hyatt House	Evanston	Illinois	United States	1	\$185.00	No

Meals (Per Member/Officer/Employee: \$158.00)

Date	Breakfast	Lunch	Dinner	Incidentals	Total	City	State	Country	Cost Exceeds Per Diem
10/02/2023	\$0.00	\$20.00	\$54.00	\$5.00	\$79.00	Evanston	Illinois	United States	No
10/03/2023	\$20.00	\$30.00	\$24.00	\$5.00	\$79.00	Evanston	Illinois	United States	No

Reasonable Miscellaneous Expenses (Per Member/Officer/Employee: \$0.00)

Expense Type	Amount	Notes
There are no miscellaneous expenses.		

Additional Attachments

Document Name
There are no additional attachments.

PRIVATELY SPONSORED TRAVEL

SPONSOR SIGNATURE PAGE

I hereby certify that the information submitted in connection with the trip listed below is true, complete, and correct to the best of my knowledge and belief.

Trip Name: Peter T. Gartrell guest speaker for Prof. Susan Lu's Healthc
Travel Date(s): November 2-3
Travel Destination(s): Evanston, IL

Sponsor: Northwestern University Kellogg School of Management

Rebecca Weaver-Gill
(printed name of sponsor representative)

Business Administrator 3
(title)

Rebecca Weaver-Gill Digitally signed by Rebecca Weaver-Gill
Date: 2023.09.18 11:50:03 -05'00'
(signature of sponsor representative)

(date)

Northwestern | Kellogg

Peter Gartrell November 2023 Kellogg visit invitee list

Peter T. Gartrell
Chief Investigator
U.S. Senate Special Committee on Aging
Chairman Bob Casey
G41 Dirksen Senate Office Building
Washington, DC 20510-6400

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10/27/2023

Peter T. Gartrell
Chief Investigator
U.S. Senate Special Committee on Aging
Chairman Bob Casey
G41 Dirksen Senate Office Building
Washington, DC 20510-6400

Mr. Gartrell, I am pleased to extend this invitation on behalf of Prof. Susan Lu to visit the Kellogg School of Management. Prof. Lu would like you to present information from the report by Senator Casey's office, "Uninspected and Neglected" to her MBA class, STRT 444 "Healthcare Economics," which meets weekly on Thursday from 6:00-9:00pm. In addition, you will meet with our Healthcare at Kellogg leadership team – Craig Garthwaite, Paul Campbell, and Amanda Starc on November 2, 2023 and meet with students from STRT 444 over lunch on November 3, 2023 to continue the discussion regarding this report and your work with Senator Casey related to healthcare access and safety.

Rebecca A. Weaver-Gill
Associate Director of Administration
Strategy Department

Northwestern | Kellogg

Peter Gartrell November 2023 Kellogg visit itinerary

11/02/23

11/02/23 travel to DCA from home for AA flight 1029 depart 11:57am
arrive 1:12pm at ORD, travel to Kellogg School of Management

Arrival Kellogg School of Management 2:15pm, Lunch with Prof. Susan Feng Lu, teaching
faculty of Healthcare Economics course

Meetings with leadership of Kellogg Health Care Management program 3:15 - 5:00pm
Craig Garthwaite, PhD, Paul Campbell, Amanda Starc, PhD

Course prep + meal 5:00-5:45pm

Course 6:00 - 9:00pm

Return to hotel

11/03/23

Breakfast with Prof. Susan Lu + review of current focus areas for Senator Casey's
committee work 9:00-11:00am

Lunch with students from STRT 444, Healthcare Economics 11:30am-1:30pm

11/03/23 travel to ORD from Kellogg for AA flight 363 depart 4:11pm
arrive at DCA 7:00pm, travel from DCA to home